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1 Details of the Applicant

|  |  |
| --- | --- |
| Company name | Click here to enter text. |
| Chamber of Commerce number | Click here to enter text. |
| Relationship number\* | Click here to enter text. |
| Licence number | Click here to enter text. |

\* You only have to enter the relationship number if you have one.

2 Changes to Ancillary services

You may indicate below for which ancillary services you wish to apply for changes. These ancillary services may only be provided in combination with a licence as an investment firm.

|  |  |  |
| --- | --- | --- |
| **Ancillary services** | **Add** | **Remove** |
| 1. Custody and management of financial instruments for the account of clients, including safekeeping and related services such as cash or collateral management.
 | [ ]  | [ ]  |
| 1. Granting credits or loans to an investor so as to enable the latter to conduct a transaction in one or more financial instruments, where the firm granting the credit or loan is a party to the transaction.
 | [ ]  | [ ]  |
| 1. Advice to enterprises on capital structure, company strategy and related matters, and advice and services relating to mergers and acquisitions of enterprises.
 | [ ]  | [ ]  |
| 1. Foreign exchange services where these are related to the provision of investment services.
 | [ ]  | [ ]  |
| 1. Investment research and financial analysis or other forms of general recommendations relating to transactions in financial instruments.
 | [ ]  | [ ]  |
| 1. Services related to underwriting of financial instruments.
 | [ ]  | [ ]  |
| 1. Investment services or activities as well as ancillary services relating to the underlying value of the financial instruments, as referred to under (e), (f), (g) or (i) of the definition for a financial instrument, to the extent that these are connected to the provision of investment or ancillary services.
 | [ ]  | [ ]  |